



*IFW*  
**PATENT**

Docket No.: DEAV2002/0054 US NP

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Claudia Eder et al.**

Examiner: Trinh, Ba K

Application No.: **10/627,850**

Filed: **July 25, 2003**

Title: **Endiandric Acid H and Its Derivatives,  
Process for Their Preparation and Use  
Thereof**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

*January 12, 2005*  
Date of Deposit

*Maribel Mendez*  
Signature

**CORRECTION OF INVENTORSHIP IN A PATENT**  
**APPLICATION UNDER 37 C.F.R. §1.48(a)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

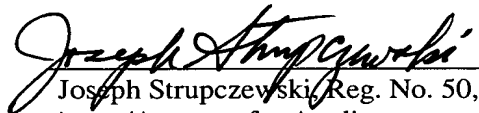
This is an amendment to correct an error in the nonjoinder of inventors in the above patent application. Enclosed please find the required documents for support:

- (1) A request, pursuant to 37 CFR §1.48 (a)(1), to correct the inventorship that sets forth the desired inventorship change.
- (2) A statement, pursuant to 37 C.F.R. §1.48(a)(2), from Robert Walker Singleton who is being added as an inventor;
- (3) A statement, pursuant to 37 C.F.R. §1.48(a)(2), from Arun Subramaniam who is being added as an inventor;
- (4) A declaration by the actual inventors; pursuant to 37 C.F.R. §1.48(a)(3)
- (5) Pursuant to 37 C.F.R. §1.48(a)(4), the processing fee set forth in 37 C.F.R. §1.17(i)
- (6) The written consent of the assignee, Aventis Pharma Deutschland GMBH, pursuant to 37 CFR §1.48(a)(5);
- (7) A statement under 37 CFR §3.73(b), establishing the right of assignee to take action, further including the statement that establishes the right of the assignee to take action regarding the instant patent application; and

- (8) A Notice of Recordation of Assignment, concurrently submitted herewith, documenting the assignments of Robert Walker Singleton and Arun Subramanian recorded on November 17, 2004 and a copy of a document showing electronic recordal of assignments on November 17, 2004, which were executed by Robert Walker Singleton and Arun Subramanian.

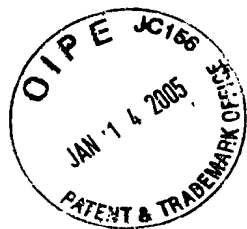
The Commissioner is hereby authorized to charge the fee required and any additional fees that may be needed to Deposit Account No. **18-1982** for Aventis Pharmaceuticals Inc., Bridgewater, NJ. Please credit an overpayment to Deposit Account No. **18-1982**. A copy of the Fee Transmittal letter is enclosed for accounting purposes.

Respectfully submitted,

  
Joseph Strupczewski, Reg. No. 50,903  
Agent/Attorney for Applicant

Aventis Pharmaceuticals Inc.  
Patent Department  
Route #202-206 / P.O. Box 6800  
Bridgewater, New Jersey 08807-0800  
Telephone: 908-231-2387  
Telefax: 908-231-2626

**Docket No. DEAV2002/0054 US NP**



# PATENT

Docket No.: DEAV2002/0054 US NP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Claudia Eder et al.**

Examiner: Not yet assigned

Application No.: **10/627,850**

Filed: **July 25, 2003**

Title: **Endiandric Acid H and Its Derivatives,  
Process for Their Preparation and Use  
Thereof**

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\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Signature

**STATEMENT OF NON-DECEPTIVE INTENT BY PERSON  
BEING ADDED BY AMENDMENT TO CORRECT  
INVENTORSHIP IN PATENT APPLICATION UNDER 37 C.F.R.  
§1.48(a)(2)**

Assistant Commissioner for Patents

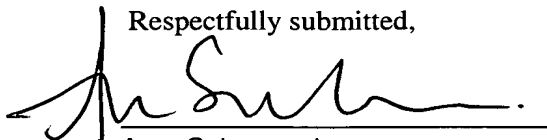
P.O. Box 1450

Alexandria, VA 22313-1450

I, ARUN SUBRAMANIAM the person who is being added as an inventor by amendment being submitted to correct the inventorship of this patent application do hereby declare that the inventorship error in failing to include my name as an inventor on this patent application occurred without any deceptive intention on my part.

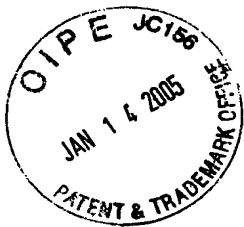
Dated: 9/7, 2004  
Aventis Pharmaceuticals Inc.  
Patent Department  
Route #202-206 / P.O. Box 6800  
Bridgewater, New Jersey 08807-0800  
Telephone: 908-231-2387  
Telefax: 908-231-2626

Respectfully submitted,

  
Arun Subramaniam

Docket No.: DEAV2002/0054 US NP

Page 1 of 1



# PATENT

Docket No.: DEAV2002/0054 US NP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Claudia Eder et al.**

Examiner: Not yet assigned

Application No.: **10/627,850**

Filed: **July 25, 2003**

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Thereof**

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Date of Deposit

Signature

### STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY AMENDMENT TO CORRECT INVENTORSHIP IN PATENT APPLICATION UNDER 37 C.F.R. §1.48(a)(2)

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I, Robert Walker Singleton the person who is being added as an inventor by amendment being submitted to correct the inventorship of this patent application do hereby declare that the inventorship error in failing to include my name as an inventor on this patent application occurred without any deceptive intention on my part.

Dated: October 4<sup>th</sup>, 2004  
Aventis Pharmaceuticals Inc.  
Patent Department  
Route #202-206 / P.O. Box 6800  
Bridgewater, New Jersey 08807-0800  
Telephone: 908-231-2387  
Telefax: 908-231-2626

Respectfully submitted,

Robert Walker Singleton  
Robert Walker Singleton

Docket No.: DEAV2002/0054 US NP

Page 1 of 1

# DECLARATION FOR PATENT APPLICATION

As a below named inventor(s), I/We hereby declare that:

My/Our residence(s), post office address(es) and citizenship(s) are as stated below my name(s).  
I/We verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## Endiandric acid H and its derivatives, process for their preparation and use thereof

and the specification of which ☐ is attached hereto (Aventis Docket No. **DEAV2002/0054 US NP**)  
(check one) ☒ was filed on **July 25, 2003** as U.S. Application Number **10/627,850**  
and was amended on \_\_\_\_\_ (if applicable).  
☐ was described and claimed in PCT Int'l Application Number \_\_\_\_\_ filed on \_\_\_\_\_  
and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

I/We hereby state that I/We have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.  
I/We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 C.F.R. 1.56.

☒ I/We hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one other country other than the United States of America, listed below and having a filing date before that of the application on which priority is claimed. I/We have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Foreign Priority:	<u>10235624.6</u> Number	<u>Germany</u> Country	<u>02/August/2002</u> Day/Month/Year Filed
----------------------	-----------------------------	---------------------------	---

Prior Foreign Appln(s):	<u>                    </u> Number	<u>                    </u> Country	<u>                    </u> Day/Month/Year Filed
-------------------------------	---------------------------------------	--	---

☒ I/We hereby claim the benefit under Title 35, United States Code §119(e) of any United States Provisional application(s) listed below:

<u>60/438,283</u> Number	<u>January 06, 2003</u> Filing Date
-----------------------------	--

☐ I/We hereby claim the benefit under Title 35, United States Code §120 or 365(c) of any United States application(s) or international application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I/We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>                    </u> Application Serial No.	<u>                    </u> Filing Date	<u>                    </u> Status (Patented, Pending)
---	--	---

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States code §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventors(s): ☒ Additional names and signatures are attached.

1. Full name: Claudia EDER

2. Full name: Herbert KOGLER

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Germany

Country of Citizenship: Germany

Residence: Hofheim/Germany  
(City and State/City and Country only)

Residence: Glashutten/Germany  
(City and State/City and Country only)

P. O. Address: Florsheimer Str. 7  
65719 Hofheim, Germany

P. O. Address: Dattenbachstrabe 12  
60322 Frankfurt, Germany

3. Full name: Sabine HAAG-RICHTER

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Germany

Residence: Frankfurt/Germany  
(City and State/City and Country only)

P. O. Address: Hammanstr. 2  
60322 Frankfurt, Germany

4. Full name: Robert Walker SINGLETON

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Ringoes, New Jersey  
(City and State/City and Country only)

P. O. Address: 111 Back Brook Road  
Ringoes, NJ 08551

5. Full name: Arun SUBRAMANIAM

Signature: 

Date: 11/10/2004

Country of Citizenship: United States of America

Residence: Lebanon, New Jersey  
(City and State/City and Country only)

P. O. Address: 6 Bernard Court  
Lebanon, New Jersey 08833

6. Full name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

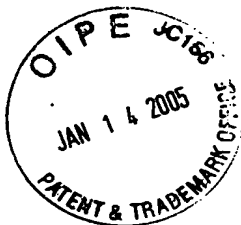
Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

Customer No.: 005487

Aventis Pharmaceuticals Inc.  
Patent Department  
Route #202-206  
P.O. Box 6800  
Mail Code D-303A  
Bridgewater, NJ 08807-0800  
Telephone (908) 231-2387  
Telefax (908) 231-2626

Aventis Docket No. **DEAV2002/0054 US NP**



UNITED STATES OF AMERICA

**DECLARATION  
FOR PATENT APPLICATION**

As a below named inventor(s), I/We hereby declare that:

My/Our residence(s), post office address(es) and citizenship(s) are as stated below my name(s).  
I/We verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is claimed  
and for which a patent is sought on the invention entitled:

**Endiandric acid H and its derivatives, process for their preparation and use thereof**

and the specification of which ☐ is attached hereto (Aventis Docket No. **DEAV2002/0054 US NP**)  
(check one) ☒ was filed on **July 25, 2003** as U.S. Application Number **10/627,850**  
and was amended on \_\_\_\_\_ (if applicable).  
☐ was described and claimed in PCT Int'l Application Number \_\_\_\_\_ filed on \_\_\_\_\_  
and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

I/We hereby state that I/We have reviewed and understand the contents of the above identified specification,  
including the claims as amended by any amendment referred to above.  
I/We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me/us to  
be material to patentability as defined in 37 C.F.R. 1.56.

☒ I/We hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or 365 (b) of any foreign  
application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at  
least one other country other than the United States of America, listed below and having a filing date before that of  
the application on which priority is claimed. I/We have also identified below any foreign application for patent or  
inventor's certificate having a filing date before that of the application on which priority is claimed:

Foreign Priority: **10235624.6** **Germany** **02/August/2002**  
Number Country Day/Month/Year Filed

Prior Foreign Appln(s): \_\_\_\_\_  
Number Country Day/Month/Year Filed

☒ I/We hereby claim the benefit under Title 35, United States Code §119(e) of any United States Provisional  
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**60/438,283** **January 06, 2003**  
Number Filing Date

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provided by the first paragraph of Title 35, United States Code §112, I/We acknowledge the duty to disclose  
information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which  
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Application Serial No. Filing Date Status (Patented, Pending)

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and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements  
and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States code §1001, and that such  
willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventors(s): ☒ Additional names and signatures are attached.

1. Full name: **Claudia EDER**

Signature: *Claudia Eder*

Date: *20.8.2004*

Country of Citizenship: **Germany**

Residence: **Hofheim/Germany**  
(City and State/City and Country only)

P. O. Address: **Florsheimer Str. 7**  
**65719 Hofheim, Germany**

2. Full name: **Herbert KOGLER**

Signature: *Herbert Vogler*

Date: *25.08.2004*

Country of Citizenship: **Germany**

Residence: **Glashutten/Germany**  
(City and State/City and Country only)

P. O. Address: **Dattenbachstrabe 12**  
**60322 Frankfurt, Germany**

3. Full name: **Sabine HAAG-RICHTER**

Signature: X Sabine Haag RLS

Date: X 02.09.2009

Country of Citizenship: **Germany**

Residence: **Frankfurt/Germany**

(City and State/City and Country only)

P. O. Address: **Hammanstr. 2**

**60322 Frankfurt, Germany**

4. Full name: **Robert Walker SINGLETON**

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: **United States of America**

Residence: **Ringoes, New Jersey**

(City and State/City and Country only)

P. O. Address: **111 Back Brook Road**

**Ringoes, NJ 08551**

5. Full name: **Arun SUBRAMANIAM**

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: **United States of America**

Residence: **Lebanon, New Jersey**

(City and State/City and Country only)

P. O. Address: **6 Bernard Court**

**Lebanon, New Jersey 08833**

6. Full name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_

(City and State/City and Country only)

P. O. Address: \_\_\_\_\_

\_\_\_\_\_

Customer No.: 005487

Aventis Pharmaceuticals Inc.

Patent Department

Route #202-206

P.O. Box 6800

Mail Code D-303A

Bridgewater, NJ 08807-0800

Telephone (908) 231-2387

Telefax (908) 231-2626

Aventis Docket No. **DEAV2002/0054 US NP**





UNITED STATES OF AMERICA

**DECLARATION  
FOR PATENT APPLICATION**

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I/We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me/us to  
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Number Country Day/Month/Year Filed

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60/438,283 January 06, 2003  
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information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which  
became available between the filing date of the prior application and the national or PCT international filing date of  
this application.

Application Serial No. Filing Date Status (Patented, Pending)

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and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements  
and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States code §1001, and that such  
willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventors(s): ☒ Additional names and signatures are attached.

1. Full name: Claudia EDER

2. Full name: Herbert KOGLER

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Germany

Country of Citizenship: Germany

Residence: Hofheim/Germany  
(City and State/City and Country only)

Residence: Glashutten/Germany  
(City and State/City and Country only)

P. O. Address: Florsheimer Str. 7  
65719 Hofheim, Germany

P. O. Address: Dattenbachstrabe 12  
60322 Frankfurt, Germany

3. Full name: Sabine HAAG-RICHTER

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: Germany

Residence: Frankfurt/Germany  
(City and State/City and Country only)

P. O. Address: Hammanstr. 2  
60322 Frankfurt, Germany

4. Full name: Robert Walker SINGLETON

Signature: Robert W. Singleton

Date: September 8th, 2004

Country of Citizenship: United States of America

Residence: Ringoes, New Jersey  
(City and State/City and Country only)

P. O. Address: 111 Back Brook Road  
Ringoes, NJ 08551

5. Full name: Arun SUBRAMANIAM

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence: Lebanon, New Jersey  
(City and State/City and Country only)

P. O. Address: 6 Bernard Court  
Lebanon, New Jersey 08833

6. Full name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Residence: \_\_\_\_\_  
(City and State/City and Country only)

P. O. Address: \_\_\_\_\_  
\_\_\_\_\_

Customer No.: 005487

Aventis Pharmaceuticals Inc.  
Patent Department  
Route #202-206  
P.O. Box 6800  
Mail Code D-303A  
Bridgewater, NJ 08807-0800  
Telephone (908) 231-2387  
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Aventis Docket No. **DEAV2002/0054 US NP**



# PATENT

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Examiner: Trinh, Ba K

Application No.: **10/627,850**

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\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Signature

**CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP IN  
A PATENT APPLICATION UNDER 37 C.F.R. §1.48(a)(5) AND  
ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE  
ACTION UNDER 37 C.F.R. §3.73**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AVENTIS PHARMA DEUTSCHLAND GmbH**

of 65926, Frankfurt am Main, Federal Republic of Germany owner by assignment of the above patent application hereby consents to the amendment of the inventorship of this patent as requested in the accompanying papers.

Additionally, Aventis Pharma Deutschland GmbH submits this paper as a statement pursuant to 37 C.F.R. §3.73(b), establishing the right of assignee, Aventis Pharma Deutschland GmbH, to take action in this case. The basis of the assignee's interest wherein ownership by the assignee is established as follows:

An assignment from the inventors, Claudia Eder, Herbert Kogler and Sabine Haag-Richter of the matter identified above, which was recorded in the PTO on December 12, 2003, at

Frame: 0642

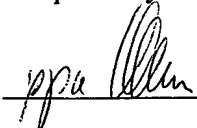
Reel: 014191


(copy attached hereto)

Dated: August 25, 2004

Respectfully submitted,

Aventis Pharma Deutschland GmbH  
D-65929  
Frankfurt am Main  
Germany  
Telephone: +49 69 305-0  
Telefax: +49 69 357175

  
Dr. E.-M. Wein  
Senior Director

  
Dr. F. Sieber  
Patent Specialist

**Docket No. DEAV2002/0054 US NP**

Attachments: Recordation of Assignment for U.S. Patent Application 10/627,850 and assignment for recordal by additional inventors, (copy of electronic submittal thereof).



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



DECEMBER 15, 2003

PTAS

\*700056591A\*

AVENTIS PHARMACEUTICALS INC.  
JOSEPH STRUPEZEWSKI  
ROUTE 202-206/P.O. BOX 6800  
BRIDGEWATER, NJ 08807-0800

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/12/2003

REEL/FRAME: 014191/0642  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

EDER, CLAUDIA

DOC DATE: 09/22/2003

ASSIGNOR:

KOGLER, HERBERT

DOC DATE: 09/28/2003

ASSIGNOR:

HAAG-RICHTER, SABINE

DOC DATE: 10/01/2003

ASSIGNEE:

AVENTIS PHARMA DEUTSCHLAND GMBH  
INDUSTRIEPARK HOCHST  
FRANKFURT AM MAIN, FED REP  
GERMANY  
DO-65929

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FILING DATE: 07/25/2003  
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## ASSIGNOR:

SUBRAMANIAM, ARUN

DOC DATE: 09/07/2004

## ASSIGNOR:

SINGLETON, ROBERT WALKER

DOC DATE: 09/08/2004

## ASSIGNEE:

AVENTIS PHARMACEUTICALS INC.  
300 SOMERSET CORPORATE BLVD.  
BRIDGEWATER, NEW JERSEY 08807-2854

SERIAL NUMBER: 10627850

FILING DATE: 07/25/2003

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TITLE: ENDIANDRIC ACID H AND ITS DERIVATIVES, PROCESS FOR THEIR  
PREPARATION AND USE THEREOF

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## PATENT ASSIGNMENT

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Arun Subramaniam	09/07/2004										
Robert Walker Singleton	09/08/2004										
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PROPERTY NUMBERS Total: 1											
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Property Type	Number										
Application Number:	10627850										
CORRESPONDENCE DATA											
Fax Number: (908)231-2626 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 908-231-2387											
Email: robin.inman@aventis.com											
Correspondent Name: Joseph T. Strupczewski											
Address Line 1: Route #202-206/P.O. Box 6800											
Address Line 2: Mail Code: D303-A											
Address Line 4: Bridgewater, NEW JERSEY 08807											
NAME OF SUBMITTER:	Robin J. Inman										
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